

**State Board of Elections
Campaign Finance Division
Memorandum to File**

To	File
From	Jane E. Steffens
Date	11/05/2015
Name of Committee	Allen Thomas for NC Senate

The following reports were audited:

- 2012 Organizational
- 2012 First, Second, Third and Fourth Quarters

The following issues were found:

- The Organizational Report was a zero report, no transactions were recorded, including any payment of a filing fee. Based on Election Cycle Totals on the First Quarter Report it appears that the Organizational Report should have recorded \$787.16 in receipts and \$608.91 in expenses, leaving an end balance of \$178.25, which was recorded as the beginning balance on the First Quarter Report – *many attempts were made to assist the candidate with securing this missing information, however ultimately nothing was obtained.*

The following contacts were made with the Committee:

- 06/04/2014 – Telephone call made to Allen Thomas, candidate
- 06/04/2014 – Email sent to Allen Thomas, candidate
- 08/18/2014 – Email received from Allen Thomas, candidate
- 08/18/2014 – Email sent to Allen Thomas, candidate
- 04/13/2015 – Email sent to Allen Thomas, candidate
- 09/18/2015 – Audit letter sent to Allen Thomas, candidate

Statement of Conclusion

Based on the relatively small totals recorded on reports, and the fact that the end balance is a negative amount, this committee will be closed with nothing further requested.

However, Mr. Thomas is involved with a political committee in future, he is urged to take the mandatory treasurer training to gain a good understanding of the Campaign Finance Rules and Regulations.

SCANNED

NOV 05 2015

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THIS PERIOD	12 Org	12Q1	12Q2	12Q3	12 Final
Beginning Balance	0.00	178.25	362.15	147.19	291.35
Aggregate		1,566.00	680.00		
Individual	787.00	1,165.00	825.00		
PPC					
OPC					
Loan Proceed					
Refund					
Interest					
Not for Profit					
Outside Source of Income	0.16				
Legal Expense Fund					
Exempt Purchase Price Sales					
TOTAL RECEIPTS	787.16	2,731.00	1,505.00	0.00	0.00
Oper Exp	101.91	2,058.45	1,657.00	147.19	
Cont to PC					
Coord Party					
Agg non media		387.62	358.37		
Loan Repayment					
Refunds					
In Kind	507.00	100.00			
TOTAL EXPEND	608.91	2,546.07	2,015.37	147.19	0.00
Ending Balance	178.25	362.15	147.19	291.35	291.35
ELECTION CYCLE					
Beginning Balance	0.00	0.00	0.00	0.00	0.00
Aggregate	0.00	1,566.00	2,246.00	2,246.00	2,246.00
Individual	787.00	1,952.00	2,777.00	2,777.00	2,777.00
PPC	0.00	0.00	0.00	0.00	0.00
OPC	0.00	0.00	0.00	0.00	0.00
Loan Proceed	0.00	0.00	0.00	0.00	0.00
Refund	0.00	0.00	0.00	0.00	0.00
Interest	0.00	0.00	0.00	0.00	0.00
Not for Profit	0.00	0.00	0.00	0.00	0.00
Outside Source of Income	0.16	0.16	0.16	0.16	0.16
Legal Expense Fund	0.00	0.00	0.00	0.00	0.00
Exempt Purchase Price Sales	0.00	0.00	0.00	0.00	0.00
TOTAL RECEIPTS	787.16	3,518.16	5,023.16	5,023.16	5,023.16
Oper Exp	101.91	2,160.36	3,817.36	3,964.55	3,964.55
Cont to PC	0.00	0.00	0.00	0.00	0.00
Coord Party	0.00	0.00	0.00	0.00	0.00
Agg non media	0.00	387.62	745.99	745.99	745.99
Loan Repayment	0.00	0.00	0.00	0.00	0.00
Refunds	0.00	0.00	0.00	0.00	0.00
In Kind	507.00	607.00	607.00	607.00	607.00
TOTAL EXPEND	608.91	3,154.98	5,170.35	5,317.54	5,317.54
Ending Balance	178.25	362.15	147.19	291.35	291.35